

# Report: Status of Participant-Directed Supports (PDS) in Pennsylvania's Office of Developmental Programs (ODP)

Kristin Ahrens, Policy Director, Institute on Disabilities at Temple University

February 3, 2016



**Institute on Disabilities**



**Funding for this project is provided through a grant from the Pennsylvania Developmental Disabilities Council**

Copyright © 2016 Institute on Disabilities at Temple University and Pennsylvania Developmental Disabilities Council. Permission to reprint, copy and distribute this work is granted provided that it is reproduced as a whole, distributed at no more than actual cost, and displays this copyright notice. Any other reproduction is strictly prohibited.

# CONTENTS

---

1	Introduction.....	2
2	What is Participant-Directed Support (PDS)?.....	3
3	Participant-Directed Support in ODP .....	3
4	Utilization of PDS in ODP .....	4
5	Assistance for Participant-Direction.....	6
5.1	Financial Management Services .....	6
5.2	Supports Broker Services.....	8
6	Discussion and Recommendations.....	10
	Appendix A: Supports Broker Role from ID Service Definitions .....	13
	Appendix B: Enrollment in ID Waivers and Use of FMS by County/Joinder.....	14

# 1 INTRODUCTION

---

**“All people with disabilities shall have the option to design, control and direct their own services and funding.”**

**- Pennsylvania Person-Driven Services and Supports Coalition**

The Person-Driven Services Project is funded by the Pennsylvania Developmental Disabilities Council.

Objectives of the Person-Driven Services project are to:

- Build the capacity for Supports Brokering in Pennsylvania.
- Demonstrate that person-driven service models are cost-effective and produce both greater quality of life outcomes and satisfaction with services.
- Build evidence-base for cost effectiveness and improved outcomes of person-driven services.
- Build support among Pennsylvania disability service systems policy makers and legislators for the personal and financial benefits of people with disabilities having control over their own services and supports, in particular, Cash and Counseling models.

In order to build the capacity for and improve person-driven approaches in the Commonwealth’s service systems, an examination of the current status of Participant-Directed Support (PDS) models is critical. The following report provides a basic explanation of the PDS options available to people who access services through the Office of Developmental Programs and an analysis of PDS utilization data. The intent of this report is to inform policymakers, providers, family members and people with disabilities about the status of person-driven services and supports in Pennsylvania so that gaps and barriers to person-driven approaches can be addressed.

## 2 WHAT IS PARTICIPANT-DIRECTED SUPPORT (PDS)?

In Participant-Directed Support (PDS) people have the option to design, control and direct their own services and funding. For Medicaid payment for PDS, the Centers for Medicare and Medicaid (CMS) have specific features that must be part of a state’s program. Medicaid reimbursable PDS options involve a program design that allows for *employer authority* and/or *budget authority*. The CMS explains these terms as:

- **Employer Authority:** participants are afforded the decision-making authority to recruit, hire, train and supervise the individuals who furnish their services.
- **Budget Authority:** participants may also have decision-making authority over how the Medicaid funds in a budget are spent.<sup>1</sup>

**Current Employer Authority in ODP Waivers:**  
**Intellectual Disability (ID) waiver participants are afforded the decision-making authority to recruit, hire, train and supervise the individuals who furnish their services.**

**Current Budget Authority:**  
**ID waiver participants have limited budget authority. Participants have the ability to determine workers’ wages from established wage ranges.**

## 3 PARTICIPANT-DIRECTED SUPPORT IN ODP

Pennsylvania’s Office of Developmental Programs (ODP) allows some participant-directed supports for two of the three home and community-based waivers it administers. Person/Family Directed Supports (P/FDS) and Consolidated waiver participants who live in private residences, (not provider operated residential settings), may elect to use “Participant Directed Supports.” This option allows employer authority and very limited budget authority (only the ability to determine workers’ wages from established wage ranges) for participants on the two Intellectual Disability (ID) waivers. The Autism waiver does not currently have any options for participant-direction.

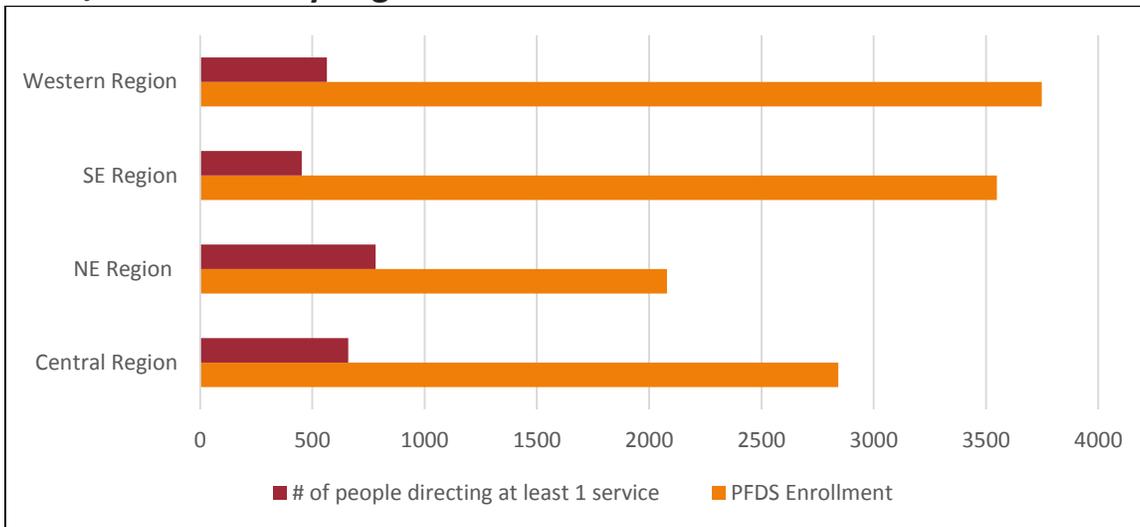
SERVICES THAT CAN BE SELF-DIRECTED IN ODP’S ID WAIVERS (EMPLOYER AUTHORITY)	ID WAIVER SERVICES PURCHASED THROUGH Financial Management Services (FMS)
<ul style="list-style-type: none"> <li>● Home and Community Habilitation (Unlicensed)</li> <li>● Homemaker/Chore</li> <li>● Unlicensed Respite</li> <li>● Supports Broker</li> <li>● Supported Employment</li> <li>● Companion Services</li> </ul>	<ul style="list-style-type: none"> <li>● Home Accessibility Adaptations</li> <li>● Vehicle Accessibility Adaptations</li> <li>● Assistive Technology</li> <li>● Transportation (Mile) and Public Transportation</li> <li>● Specialized Supplies</li> <li>● Educational Support Services</li> </ul>

<sup>1</sup> Self-Direction Guidelines retrieved from <http://www.medicaid.gov/Medicaid-CHIP-Program-Information/By-Topics/Delivery-Systems/Self-Directed-Services.html>.  
*Institute on Disabilities, Temple University*

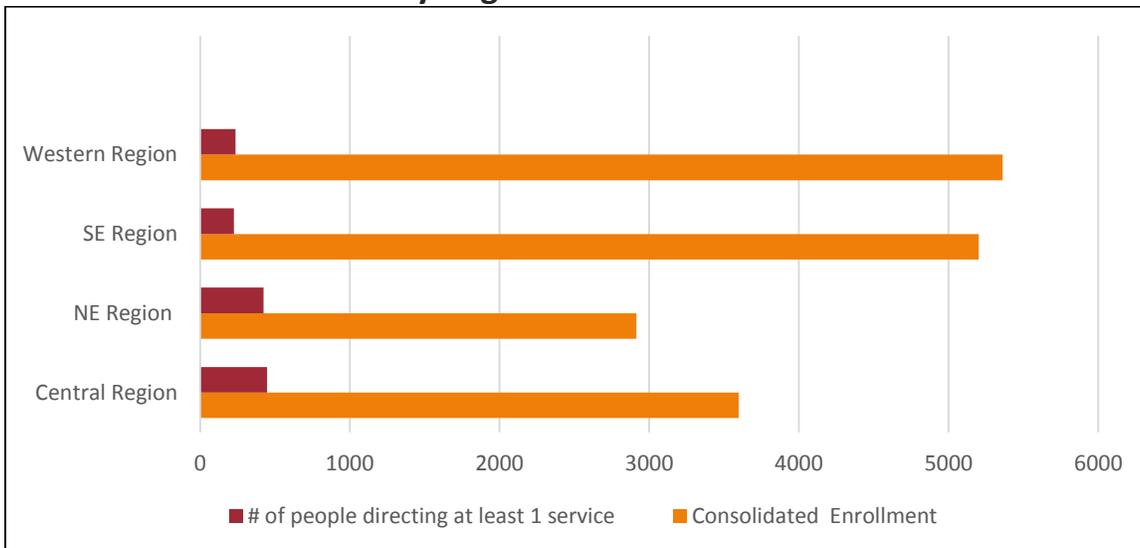
## 4 UTILIZATION OF PDS IN ODP

As of August 2015, 3,823 people were self-directing at least 1 of their ID waiver services. Use of PDS for ID waiver participants varies by county from 0-42% across the Commonwealth. Cambria and Cameron/Elk have no ID waiver participants authorized to use PDS. Allegheny, Armstrong/Indiana, Philadelphia and McKean have 1% of waiver participants using PDS. Huntington/Mifflin/Juniata, Lehigh, Butler, Luzerne/Wyoming, Forest/Warren, Potter and Venango all have greater than 30% of ID waiver participants using PDS.

**Table 1: Number of People Self-Directing at Least 1 Service on P/FDS Waiver by Region**



**Table 2: Number of People Self-Directing at Least 1 Service on Consolidated Waiver by Region**



**Table 3: Percentage of People Directing at Least 1 Service by County/Joinder**

0-5%	6-10%	11-15%	16-20%	21-30%	31-40%	40%+
<ul style="list-style-type: none"> <li>• Allegheny</li> <li>• Armstrong/Indiana</li> <li>• Bucks</li> <li>• Cambria</li> <li>• Cameron/Elk</li> <li>• Clearfield/Jefferson</li> <li>• Fayette</li> <li>• McKean</li> <li>• Philadelphia</li> <li>• Westmoreland</li> </ul>	<ul style="list-style-type: none"> <li>• Carbon/Monroe/Pike</li> <li>• Lackawanna/Susquehanna</li> <li>• Lawrence</li> <li>• Mercer</li> </ul>	<ul style="list-style-type: none"> <li>• Beaver</li> <li>• Blair</li> <li>• Chester</li> <li>• Crawford</li> <li>• Cumberland/Perry</li> <li>• Franklin/Fulton</li> <li>• Greene</li> <li>• Lancaster</li> <li>• Lebanon</li> <li>• Montgomery</li> <li>• York/Adams</li> </ul>	<ul style="list-style-type: none"> <li>• Bedford/Somerset</li> <li>• Bradford/Sullivan</li> <li>• Clarion</li> <li>• Lycoming/Clinton</li> <li>• Northumberland</li> <li>• Tioga</li> <li>• Washington</li> <li>• Wayne</li> </ul>	<ul style="list-style-type: none"> <li>• Berks</li> <li>• Centre</li> <li>• Columbia/Montour/Snyder/Union</li> <li>• Dauphin</li> <li>• Delaware</li> <li>• Erie</li> <li>• Northampton</li> <li>• Schuylkill</li> </ul>	<ul style="list-style-type: none"> <li>• Butler</li> <li>• Huntingdon/Mifflin/Juniata</li> <li>• Lehigh</li> <li>• Luzerne/Wyoming</li> <li>• Potter</li> <li>• Venango</li> </ul>	<ul style="list-style-type: none"> <li>• Forest/Warren</li> </ul>

For details on waiver enrollment and PDS use by county, see Appendix B.

## 5 ASSISTANCE FOR PARTICIPANT-DIRECTION

The CMS requirements are that people self-directing should have access to services and supports to develop a person-centered plan and individual budget. Further, people should have access to support to (a) recruit, hire and manage their workers and supports and (b) manage their individual budget to most effectively meet their needs. On the ID waivers, people who elect to direct their own services in the ID waivers choose from two models of Financial Management Services (FMS), Agency With Choice (AWC) or Vendor Fiscal/Employer Agent (VF/EA). Supports Broker Services are also funded under the waivers though the service is not available in most areas of the state.

### 5.1 FINANCIAL MANAGEMENT SERVICES

In order for people to exercise employer authority, administrative support related to employer functions is necessary. Pennsylvania, for all home and community-based waivers, primarily uses a Vendor Fiscal/Employer Agent to perform this function. For both the Office of Long Term Living (OLTL) and ODP waivers, the state contracts with Public Partnerships, LLC (PPL)<sup>2</sup> to perform this function on behalf of roughly 16,000 waiver participants. For people on the ID waivers, the state also offers an Agency With Choice or co-employer model. The state contracts with over 20 local AWCs to provide this service.<sup>3</sup> Of the 3,823 ID waiver participants who self-directed at least one waiver service as of August 2015, 3076 used AWC and 747 used the VF/EA model.

For both models of Financial Management Service, the Commonwealth pays a per member per month (PMPM) fee to the FMS and provides reimbursement for all authorized waiver services and supports processed by the

#### **Vendor Fiscal/Employer Agent (VF/EA)**

Person (or a surrogate) is “common law employer,” also known as the “Employer of Record” of his or her staff.

Person responsible to recruit, hire and train staff; determine staff schedules and responsibilities; manage the daily activities of staff; and terminate staff when appropriate.

VF/EA responsible for withholding taxes, paying staff, providing workers’ compensation, conducting criminal and child abuse background checks.

#### **Agency With Choice (AWC)**

Person (or surrogate) acts as the “Managing Employer” in a co-employment arrangement with the AWC agency. AWC is the “Employer of Record”.

Person works with FMS agency to recruit qualified staff, train staff, determine workers’ schedules and responsibilities, and manage staff’s daily activities.

AWC is responsible for, hiring staff; processing employment documents; obtaining necessary criminal background and child abuse checks; paying staff; and providing workers’ compensation.

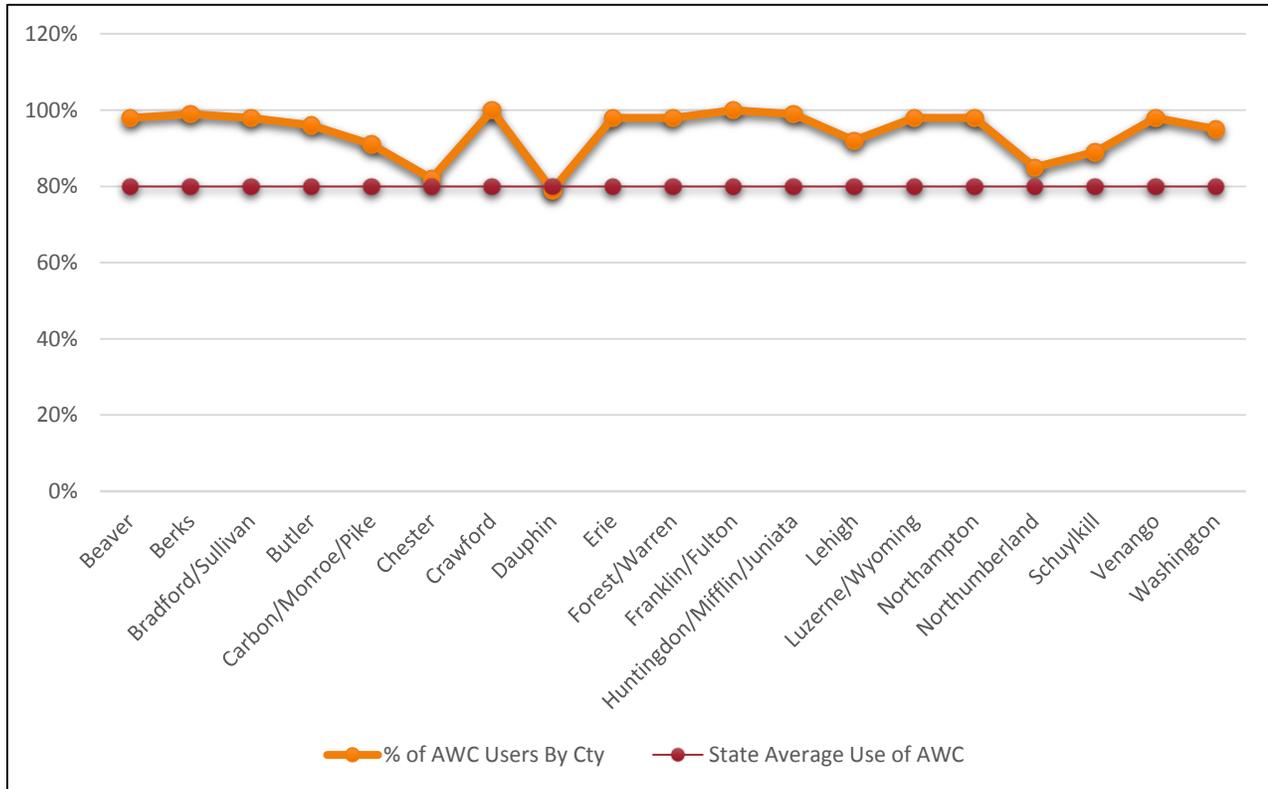
<sup>2</sup> DHS has indicated it will be issuing an RFP for the VF/EA service in 2016.

<sup>3</sup> ODP Communication Number: Memo 044-14 [http://www.temple.edu/thetrainingpartnership/resources/pds/docs/044-14\\_InfoMemo\\_AWClisting.pdf](http://www.temple.edu/thetrainingpartnership/resources/pds/docs/044-14_InfoMemo_AWClisting.pdf).

FMS. In all of Pennsylvania’s waivers, FMS is an administrative service. Both FMS models provide a specialized payroll service and have the ability to purchase approved vendors services.

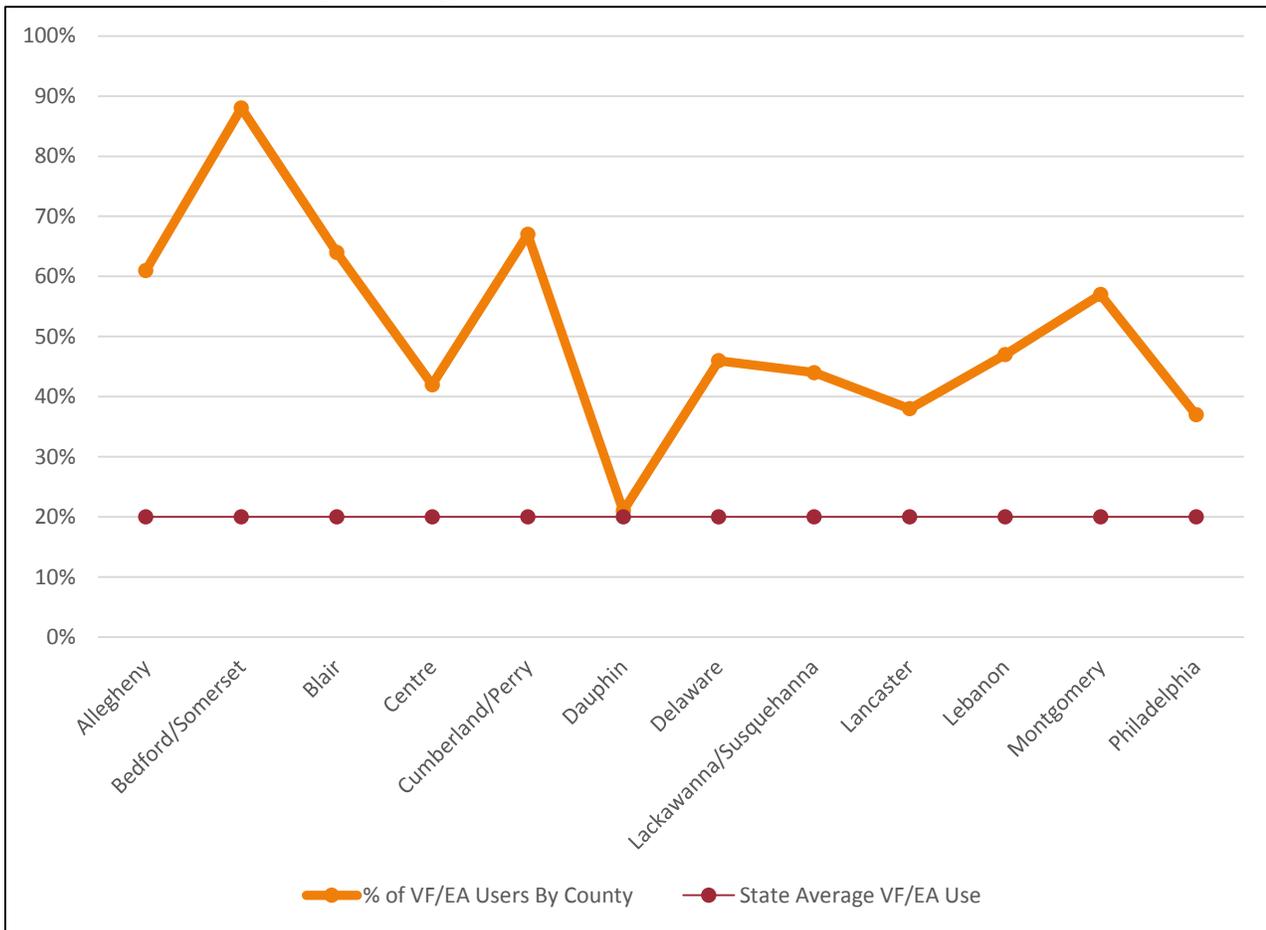
Use of type of FMS varies significantly across the Commonwealth. Table 4 illustrates the counties in which AWC use is above the state average of 80% and Table 5 shows counties where the VF/EA use is above the state average of 20%. The difference represented in these two tables highlights potential issues around access, representation, and choice that should be examined.

**Table 4 AWC Use by County/Joinder\***



\* Counties with fewer than 30 participants using PDS are not included in the table  
 Institute on Disabilities, Temple University

**Table 5 VF/EA Use by County/Joinder\***



## 5.2 SUPPORTS BROKER SERVICES

Supports Broker Service is a billable service under the P/FDS and Consolidated waivers for people who live in their own private homes and elect to use participant-direction. The intent of Supports Broker services is to enhance the individual’s ability to direct his or her own services. Supports Brokering is an optional service to assist people with their employer-related responsibilities, enhancing natural supports, and compliance with program rules. For a detailed explanation of Supports Broker duties, see Appendix A. Though an allowable waiver service for over a decade, Supports Broker capacity is just being developed in Pennsylvania. As a result, there are very few people who use this service and very few providers who offer this service.

As of July 2015, 33 people were authorized to use Supports Broker services in the Commonwealth.

\* Counties with fewer than 30 participants using PDS are not included in the table  
 Institute on Disabilities, Temple University

<b>Table 6: Number of People Using Supports Brokering by County/Joinder</b>	
<b>County</b>	<b># of People Using Service</b>
Carbon/Monroe/Pike	2
Delaware	11
Erie	3
Lebanon	2
Lehigh	3
Montgomery	4
Philadelphia	8
<b>Total</b>	<b>33</b>

20 of these individuals received Supports Broker services through an agency and the remaining 13 received broker services from individuals. Currently there are 3 providers in Pennsylvania qualified for and available for brokering, Values into Action PA, NEPA Inclusive and the Barber National Institute.

Through the Person Driven Services and Supports (PDSS) Project which is funded through the PA Developmental Disabilities Council, 3 cohorts of Supports Brokers have received training (2 in the SE and 1 in the NE). Through the project a Supports Broker Network is also supported which provides opportunities for on-going training and technical assistance for Supports Brokers.

The training curriculum for Supports Brokers includes the following topics:

1. Principles of Self-Determination, Everyday Lives and Recovery
2. History of the disability rights movement and paradigm/power shift
  - Supporting Decision-making
3. Recovery Planning for Everyday Lives
4. Participant-Directed Supports
  - Employer and budget authority
  - Using financial management services
5. Roles and responsibilities of a Broker
6. Person-centered Planning
  - Important To/For
  - Visioning
  - Circle of support
  - Relationship and community mapping
7. Individual Support Plans (ISPs) and individualized budgets – connecting support to desired outcomes
8. ODP Waivers and Service Definitions
9. Dual Diagnosis - Mental Health/Intellectual Disability (MH/ID): Navigating the Systems
10. Supporting Employers in PDS
  - Basics on Employment (discrimination, wage and hour, workplace safety)
  - Recruiting, Screening and Interviewing
  - Selection and Hiring

- Managing Support Service Workers (SSWs) - Connecting Person-Centered Plan, the ISP and job descriptions
- Documentation
- Reporting Incidents and Abuse

## 6 DISCUSSION AND RECOMMENDATIONS

---

**The Adult Autism Waiver is the only waiver in Pennsylvania that serves adults that does not offer any option for self-direction.** Participant-Directed Supports have been an option in Medicaid<sup>4</sup>, therefore eligible for federal matching funds, since the 1990s. Major growth occurred in states using the PDS model when, in 2001, the Centers for Medicare and Medicaid revised the 1915(c) Home and Community Based Services (HCBS) waiver application to include participant-directed options. Currently, all states have at least one program that allows for self-direction.<sup>5</sup> Nationally, these programs serve people across the disability spectrum including people with intellectual and developmental disability (I/DD), autism, and people who receive aging services.

Seven of the nine 1915(c) home and community based waivers in Pennsylvania allow for participant-direction. It is only the Infant, Toddler and Families and the Adult Autism waivers that do not allow for any self-direction of services. Roughly 19,000 people in the Commonwealth currently self-direct at least one service.<sup>6</sup> 35% of waiver participants in the Office of Long Term Living waivers self-direct at least one service, including 50% of adults on the OBRA waiver. By comparison, 13% of people on the ID waivers self-direct at least one service. Pennsylvania has extensive experience and infrastructure supporting a variety of self-directed models and self-direction is clearly a desirable option for many adults with disabilities.

**Recommendation:** Between civil rights issues related to segregation, demographic shifts and our economic climate, our systems require transformation to better serve people in integrated ways that are affordable and address our waiting lists. Participant-directed approaches are an essential component in this transformation. Research provides ample evidence that participant-directed services and supports are desirable, cost-effective and result in higher satisfaction and fewer unmet needs. The Autism waiver should be amended to offer self-direction.

**Wide variation in use of participant-directed supports requires greater analysis.** Examination of waiver and FMS enrollment data shows substantial variation by county/joiners (0-42%) in the use of PDS. From the available data, it is unclear why such significant variations exist. Some possible factors are:

- availability of agency providers and provider capacity in a geographic area;
- wages and employment environment in an area (Is it an area of high unemployment so the pool of available Support Service Workers is higher? Is the maximum wage allowable in PDS more attractive in some parts of the state because the job pool tends to have lower wages?)

---

<sup>4</sup> Pursuant to section 1905(a)(24) of Social Security Act.

<sup>5</sup> Sciegaj, M., Mahoney, K. J., Schwartz, A. J., Simon-Rusinowitz, L., Selkow, I., & Loughlin, D. M. (2014).

<sup>6</sup> Data Source: Office of Long Term Living Enrollment Data Q1 2014 and Public Partnerships, LLC Enrollment Data Q1 2014; Office of Developmental Programs Enrollment Data Q1 2014

- historical availability of the model (in some counties the model was adopted early and AWCs were operating before AWCs were available statewide)
- technical skills and experience of Supports Coordination Organizations (SCOs) and administrative entities related to participant-direction;
- availability of training, support and technical assistance for SCOs related to PDS;
- availability of support to self-direct through Supports Brokers; and
- training available for self-advocates and families on PDS.

**Recommendation:** To ensure that all waiver participants have equal access to PDS models, ODP should identify the causes of these disparities in use and develop a plan to systematically address the issues that surface.

**Wide variation in the use of AWC versus the VF/EA model of FMS requires greater analysis.** The statewide average for people using the AWC model is 80% versus VF/EA use which averages 20%. Some possible explanations for this differential in use may be the attractiveness of the more supportive design of the AWC, the local presence of the AWC, the longevity of the AWC operating in the area, and the widespread public complaints about performance issues with the VF/EA contractor (particularly during the transition to the current contractor). Regardless, it should be looked at more closely.

Also of great concern are the outliers in these data. For example, in Berks County, of the 243 people that were self-directing a service in August of 2015, 99% of them were using the Agency With Choice model. Similarly, in Luzerne/Wyoming of the 288 that were self-directing, 98% were using AWC. On the other hand, in Bedford/Somerset, of the 52 people that were self-directing, 88% of them are using the VF/EA model. The root causes of these large variations should be examined because there are significant differences in the liability that a family or waiver participant takes on in AWC versus the VF/EA model. Further, depending on the wages selected by the waiver participant or surrogate, there can be significant cost differences as well. For a person on the capped waiver (P/FDS), they may be able to purchase considerably more units of service using the VF/EA model.

**Recommendation:** Again, the causes of these variations are unclear from the data reviewed but the issue should be examined closely to ensure that people are being provided with the information to make informed choices about the models, especially given the implications for liability and budget. Through surveys and focus groups, ODP should identify the causes of these disparities and develop a plan to systematically address issues that come to light.

**In the majority of the Commonwealth waiver participants do not have access to Supports Brokering Services.** People directing their own services often need some assistance to do so. For many people who need services, they have never managed “staff” before, never written ads to recruit support workers, never interviewed prospective employees, never submitted payroll. For many people who want to use participant-direction, they also need some assistance blending the paid and the unpaid service and supports they have in their lives. Supports Brokering has been an approved waiver service for well over a decade in Pennsylvania. As a waiver service, ODP must ensure that there is an availability of providers of this service. Some of the barriers and challenges to provision of the service that have been identified through the PDS Demonstration Project are:

- lack of understanding of PDS and the role of a Supports Broker
- lack of demand for the Supports Broker service from individuals and families because they are unaware that it is an available service in the waiver
- confusion at every level of the system about the conflict of interest provisions in the waiver related to brokering (providers of other direct waiver services *can* provide brokering just not to the same person)<sup>7</sup>
- provider concern that the fee schedule rate for brokering will not cover costs
- provider concerns that the limitation on the annual units per person and the intermittent nature of the service for many users creates a scalability issue
- lack of understanding about PDS and the extent of services that can be self-directed

**Recommendation:** ODP should develop a comprehensive plan to address the informational, operational and systemic barriers to ensure access to Supports Brokering services. At a minimum, to address the barriers that have surfaced through the DD Council funded PDS Demonstration project, ODP should: update, revise and disseminate information on PDS (*Pennsylvania's Guide to Participant Directed Supports*); provide training to individuals and families on PDS; require SC training on PDS including detailed information on FMS and Supports Brokering; develop and disseminate a communication reiterating the Supports Broker qualifications and conflict of interest policy; and re-assess the unit rate and limitation on units of service for Support Brokering.

---

<sup>7</sup> From Consolidated Waiver: "Supports Broker Services may be provided by individual and agency providers that provide other Waiver or ID services but the Supports Broker provider must be conflict free. In order to be conflict free, the Supports Broker provider may not provide other direct or indirect waiver services or base funded ID services when authorized to provide Support Broker services to the waiver participant. In addition, Supports Broker providers may not provide administrative services such as Health Care Quality Unit, Administrative Entity functions or Independent Monitoring Program." (p.128)

## APPENDIX A: SUPPORTS BROKER ROLE FROM ID SERVICE DEFINITIONS

### Supports Broker Role

**Perform a variety of duties to support a person in self-directing services and supports. Can assist a person to exercise budget and employer authority to get the services and supports they need and be integrally involved in their community as they choose. Support Broker services are optional services and are based on an individual's need for the service. Service may be used a maximum of 1040 units annually. Duties Supports Brokers can assist with are below.**

<b>Support with Employer-Related Duties</b>	<b>Support with Enhancing Natural Supports</b>	<b>Support with Service and Support Continuity and Maintenance</b>
<p>Understanding and completing employer-related paperwork</p> <p>Participation in Financial Management Services (FMS) orientation</p> <p>Effective hiring techniques including creating job descriptions, ads for hiring, strategies for evaluating candidates and informing candidates on selection or non-selection</p> <p>Determining pay rates for workers</p> <p>Providing or arranging for worker training</p> <p>Developing worker schedules</p> <p>Effective management and supervision techniques such as conflict resolution</p> <p>Proper procedures for termination of workers</p> <p>Review of workplace safety issues and strategies for effective management of workplace injury prevention</p> <p>Developing, implementing and modifying a back-up plan for staffing for emergencies and/or worker absences</p> <p>Understanding and/or fulfilling the responsibilities of being an employer</p>	<p>Facilitation of a support group that helps to meet the participant's self-direction needs</p> <p>Expanding and coordinating informal, unpaid resources, and networks within the community to support success with self-direction</p> <p>Identifying areas of support that will promote success with self-direction and independence and share the information with the team and Supports Coordinator for inclusion in the Individual Support Plans (ISP)</p> <p>Scheduling paid and unpaid supports</p>	<p>Compliance with the regulations, policies and the waiver requirements related to self-direction</p> <p>Advising and assisting individuals or the surrogate with the development of procedures to monitor expenditures and utilization of services and supports</p> <p>Advising in problem-solving, decision-making, and achieving desired outcomes</p> <p>Communicating and negotiating any necessary modifications to the participant's Individual Support Plan (ISP)</p> <p>When applicable, securing a new surrogate and responding to notices for corrective action from the FMS, SC, AE or ODP.</p>

## APPENDIX B: ENROLLMENT IN ID WAIVERS AND USE OF FMS BY COUNTY/JOINDER

**TABLE 1: CENTRAL REGION**

*Data Source: ODP Waiver Enrollment by County/Joinder 8/31/2015*

County Joinder	Consolidated Waiver					P/FDS Waiver					Total # Direct at Least 1 Service	% Direct at Least 1 Service
	Total Enroll	# Using FMS By Type		Total # Using PDS	% Using PDS	Total Enroll	# Using FMS By Type		Total # Using PDS	% Using PDS		
		AWC	VF/EA				AWC	VF/EA				
Bedford/Somerset	167	5	36	41	25%	148	1	10	11	7%	52	17%
Blair	249	7	19	26	10%	195	15	20	35	18%	61	14%
Cambria	220	0	0	0	0%	109	1	0	1	1%	1	0%
Centre	128	10	6	16	13%	121	28	21	49	40%	65	26%
Columbia/Montour/Snyder/Union	216	49	0	49	23%	160	39	2	41	26%	90	24%
Cumberland/Perry	253	4	5	9	4%	232	13	29	42	18%	51	11%
Dauphin	465	66	20	86	18%	238	73	17	90	38%	176	25%
Franklin/Fulton	146	15	0	15	10%	161	24	0	24	15%	39	13%
Huntingdon/Mifflin/Juniata	186	43	1	44	24%	235	97	0	97	41%	141	33%
Lancaster	500	27	33	60	12%	452	58	20	78	17%	138	14%
Lebanon	106	3	2	5	5%	118	13	12	25	21%	30	13%
Lycoming/Clinton	230	24	4	28	12%	180	36	11	47	26%	75	18%
Northumberland	175	28	1	29	17%	144	24	8	32	22%	61	19%
York/Adams	557	23	16	39	7%	350	66	22	88	25%	127	14%
<b>CENTRAL REGION TOTALS</b>	<b>3,598</b>	<b>304</b>	<b>143</b>	<b>447</b>	<b>12%</b>	<b>2,843</b>	<b>488</b>	<b>172</b>	<b>660</b>	<b>23%</b>	<b>1,107</b>	<b>17%</b>

**TABLE 2: NORTHEAST REGION**

*Data Source: ODP Waiver Enrollment by County/Joinder 8/31/2015*

County Joinder	Consolidated Waiver					P/FDS Waiver					Total # Direct at Least 1 Service	% Direct at Least 1 Service
	Total Enroll	# Using FMS By Type		Total # Using PDS	% Using PDS	Total Enroll	# Using FMS By Type		Total # Using PDS	% Using PDS		
		AWC	VF/EA				AWC	VF/EA				
Berks	466	69	2	71	15%	394	172	0	172	44%	243	28%
Bradford/Sullivan	105	7	0	7	7%	101	34	1	35	35%	42	20%
Carbon/Monroe/Pike	376	13	3	16	4%	189	36	2	38	20%	54	10%
Lackawanna/Susquehanna	433	6	12	18	4%	245	17	6	23	9%	41	6%
Lehigh	481	85	8	93	19%	300	134	12	146	49%	239	31%
Luzerne/Wyoming	372	92	4	96	26%	361	191	1	192	53%	288	39%
Northampton	327	58	0	58	18%	219	98	3	101	46%	159	29%
Schuylkill	220	32	5	37	17%	170	56	6	62	36%	99	25%
Tioga	57	9	4	13	23%	54	6	0	6	11%	19	17%
Wayne	77	8	8	16	21%	47	3	4	7	15%	23	19%
<b>NORTHEAST TOTALS</b>	<b>2,914</b>	<b>379</b>	<b>46</b>	<b>425</b>	<b>15%</b>	<b>2,080</b>	<b>747</b>	<b>35</b>	<b>782</b>	<b>38%</b>	<b>1,207</b>	<b>24%</b>

**TABLE 3: SOUTHEAST REGION**

*Data Source: ODP Waiver Enrollment by County/Joinder 8/31/2015*

County Joinder	Consolidated Waiver					P/FDS Waiver					Total # Direct at Least 1 Service	% Direct at Least 1 Service
	Total Enroll	# Using FMS By Type		Total # Using PDS	% Using PDS	Total Enroll	# Using FMS By Type		Total # Using PDS	% Using PDS		
		AWC	VF/EA				AWC	VF/EA				
Bucks	634	15	4	19	3%	464	21	7	28	6%	47	4%
Chester	481	33	10	43	9%	330	60	11	71	22%	114	14%
Delaware	683	48	46	94	14%	497	96	79	175	35%	269	23%
Montgomery	917	21	31	52	6%	687	65	81	146	21%	198	12%
Philadelphia	2,486	14	5	19	1%	1,571	19	14	33	2%	52	1%
<b>SOUTHEAST TOTAL</b>	<b>5,201</b>	<b>131</b>	<b>96</b>	<b>227</b>	<b>4%</b>	<b>3,549</b>	<b>261</b>	<b>192</b>	<b>453</b>	<b>13%</b>	<b>680</b>	<b>8%</b>

**TABLE 4: WESTERN REGION**

*Data Source: ODP Waiver Enrollment by County Joinder 8/31/2015*

County Joinder	Consolidated Waiver					P/FDS Waiver					Total # Direct at Least 1 Service	% Direct at Least 1 Service
	Total Enroll	# Using FMS By Type		Total # Using PDS	% Using PDS	Total Enroll	# Using FMS By Type		Total # Using PDS	% Using PDS		
		AWC	VF/EA				AWC	VF/EA				
Allegheny	2,063	7	12	19	1%	1,364	6	8	14	1%	33	1%
Armstrong/Indiana	263	2	0	2	1%	181	1	0	1	1%	3	1%
Beaver	238	15	0	15	6%	182	45	1	46	25%	61	15%
Butler	204	38	3	41	20%	122	66	1	67	55%	108	33%
Cameron/Elk	67	0	0	0	0%	38	0	0	0	0%	0	0%
Clarion	121	15	0	15	12%	35	11	0	11	31%	26	17%
Clearfield/Jefferson	198	7	0	7	4%	107	7	0	7	7%	14	5%
Crawford	163	22	0	22	13%	135	23	0	23	17%	45	15%
Erie	616	70	0	70	11%	407	194	5	199	49%	269	26%
Fayette	185	0	0	0	0%	121	6	1	7	6%	7	2%
Forest/Warren	63	11	0	11	17%	52	36	1	37	71%	48	42%
Greene	60	5	3	8	13%	30	4	0	4	13%	12	13%
Lawrence	119	2	2	4	3%	131	8	2	10	8%	14	6%
McKean	65	0	0	0	0%	94	1	0	1	1%	1	1%
Mercer	183	2	4	6	3%	173	8	12	20	12%	26	7%
Potter	18	0	0	0	0%	19	13	0	13	68%	13	35%
Venango	90	16		16	18%	64	30	1	31	48%	47	31%
Washington	243	20	2	22	9%	127	50	2	52	41%	74	20%
Westmoreland	402	5	1	6	1%	367	20	2	22	6%	28	4%
<b>WESTERN TOTAL</b>	<b>5,361</b>	<b>237</b>	<b>27</b>	<b>264</b>	<b>5%</b>	<b>3,749</b>	<b>529</b>	<b>36</b>	<b>565</b>	<b>15%</b>	<b>829</b>	<b>9%</b>

**TABLE 5: REGION TOTALS**

*Data Source: ODP Waiver Enrollment by County Joinder 8/31/2015*

Region	Consolidated Waiver					P/FDS Waiver					Total # Direct at Least 1 Service	% Direct at Least 1 Service
	Total Enroll	# Using FMS By Type		Total # Using PDS	% Using PDS	Total Enroll	# Using FMS By Type		Total # Using PDS	% Using PDS		
		AWC	VF/EA				AWC	VF/EA				
Central	3,598	304	143	447	12%	2,843	488	172	660	23%	1,107	17%
Northeast	2,914	379	46	425	15%	2,080	747	35	782	38%	1,207	24%
Southeast	5,201	131	96	227	4%	3,549	261	192	453	13%	680	8%
West	5,361	237	27	264	5%	3,749	529	36	565	15%	829	9%
<b>Grand Total</b>	<b>17,074</b>	<b>1,051</b>	<b>312</b>	<b>1,363</b>	<b>8%</b>	<b>12,221</b>	<b>2,025</b>	<b>435</b>	<b>2,460</b>	<b>20%</b>	<b>3,823</b>	<b>13%</b>